

## POLITICALLY EXPOSED PERSON (PEP) QUESTIONNAIRE

The Money Laundering and Terrorism (Prevention) Act Revised 2020 & Amendments 2023, requires that ALL financial institutions operating within Belize determine if a client or the beneficial owner of a company may be a Politically Exposed Person (PEP). “Domestic politically exposed person” is one who has been entrusted with a prominent public function by Belize. We ask that you kindly tick Yes or No in response to each of the following questions:

Do you, any family member or close associate currently hold or have once held any of the following positions by Belize or by a country other than Belize? *See Guidance Notes below.*

- |  |   |
|--|---|
| <input type="checkbox"/> Yes <input type="checkbox"/> No | (a) Head of state, Head of government, senior politician including Minister and Minister of State;  |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | (b) Member of the House of Representatives and the Senate;  |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | (c) Permanent Secretary or Chief Executive Officer;   |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | (d) Judge of the High Court and Court of Appeal and Magistrate;   |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | (e) Member of High Court, Superior Court of record, of constitutional court, or of other high-level judicial bodies   |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | (f) Member of court of auditors or of the boards of central bank;   |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | (g) Ambassadors and chargés d’affaires;   |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | (h) High-ranking officers in the armed forces;  |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | (i) Law enforcement officer and senior officer above the rank of Sergeant;  |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | (j) Member of the board and the Chief Executive Officer of government owned or controlled enterprises or authorities  |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | (k) Member of the administrative, management or supervisory bodies of State-owned enterprises; State-owned where the Government has 50% or more shareholding.   |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | (l) Important political party officials   |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | (m) Foreign politically exposed person” who is, or has been, entrusted with a prominent public function by a country other than Belize.   |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | (n) Person entrusted with a prominent function by an international organization   |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | (o) International Organization established by formal political agreement between its member countries that has the status of an international treaty; whose existence is recognized by law in its member countries; not treated as a resident institutional unit of the country in which it is located.   |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | (p) Immediate family member see below guidance notes.   |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | (q) Close Associate of a politically exposed person- person known to maintain a close business relationship with that person or to be in a position to conduct substantial financial transactions on behalf of the person; person who is known to have joint beneficial ownership of a legal entity or legal arrangement, or any other close business relations, with that person; person who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the benefit of that person. |

### Guidance Notes

1) Immediate family members of a political exposed person include the following:

- spouse or common-law or co-habitant of such an individual
- partner - a person who lives in a domestic relationship which is similar to the relationship between husband and wife; a person in a relationship with another person who is considered by the law of the jurisdiction which applies to the relationship as equivalent to a spouse
- children and their spouses or partners
- Parents
- grandparents and grandchildren
- siblings

If you answered Yes to any of the above, kindly provide specific details of the position or appointment and the relationship with such person in the box below.

Specific Position or Appointment:	.....
Relationship:	<input type="checkbox"/> Myself <input type="checkbox"/> Other (Please give full name of the person holding the position or appointment and your exact relationship to such person)
Full Name:	.....
Relationship:	.....

I further declare that should my PEP status change at any time in the future, I will inform RF&G Life Insurance Co.Ltd. by requesting and completing an updated PEP Questionnaire.

\_\_\_\_\_

Full Legal Name (Upper Case)
Signature
Date (DD/MM/YYYY)